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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 30

Complete if Known

Application Number	09/899059
Filing Date	07/06/2001
First Named Inventor	Craig A. Rosen
Art Unit	1647
Examiner Name	Guo-Liang Yu
Attorney Docket Number	075977-0122

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	A1	US-4,002,531-	01-11-1977	ROYER	
	A2	US-4,179,337-	12-18-1979	DAVIS ET AL.	
	A3	US-4,433,092-	02-21-1984	NEMETH	
	A4	US-4,444,887-	04-24-1984	HOFFMANN	
	A5	US-4,474,893-	10-02-1984	READING	
	A6	US-4,631,211-	12-23-1986	HOUGHTEN	
	A7	US-4,676,980-	06-30-1987	SEGAL ET AL.	
	A8	US-4,708,781-	11-24-1987	VANDER	
	A9	US-4,714,681-	12-22-1987	READING	
	A10	US-4,716,111-	12-29-1987	OSBAND ET AL.	
	A11	US-4,741,900-	05-03-1988	ALVAREZ ET AL.	
	A12	US-4,816,397-	03-28-1989	BOSS ET AL.	
	A13	US-4,816,567-	03-28-1989	CABILLY ET AL.	
	A14	US-4,873,191-	10-10-1989	WAGNER ET AL.	
	A15	US-4,925,648-	05-15-1990	HANSEN ET AL.	
	A16	US-4,946,778-	08-07-1990	LADNER ET AL.	
	A17	US-4,980,286-	12-25-1990	MORGAN ET AL.	
	A18	US-4,994,560-	02-19-1991	KRUPER ET AL.	
	A19	US-5,112,946-	05-12-1992	MAIONE	
	A20	US-5,122,464-	06-16-1992	WILSON ET AL.	
	A21	US-5,194,392-	03-16-1993	GEYSEN	
	A22	US-5,223,409-	06-29-1993	LADNER ET AL.	
	A23	US-5,225,539-	07-06-1993	WINTER	
	A24	US-5,258,498-	11-02-1993	HUSTON ET AL.	
	A25	US-5,274,119-	12-28-1993	FRAZIER ET AL.	
	A26	US-5,314,995-	05-24-1994	FELL ET AL.	
	A27	US-5,336,603-	08-09-1994	CAPON ET AL.	
	A28	US-5,342,604-	08-30-1994	WILSON ET AL.	
	A29	US-5,349,052-	09-20-1994	DELGADO ET AL.	
	A30	US-5,349,053-	09-20-1994	LANDOLFI	
	A31	US-5,359,046-	10-25-1994	CAPON ET AL.	
	A32	US-5,399,349-	03-21-1995	PAUNESCU ET AL.	
	A33	US-5,403,484-	04-04-1995	LADNER ET AL.	
	A34	US-5,413,923-	05-09-1995	KUCHERLAPATI ET AL.	
	A35	US-5,427,908-	06-27-1995	DOWER ET AL.	
	A36	US-5,428,139-	06-27-1995	KIEFER ET AL.	
	A37	US-5,435,990-	07-25-1995	CHENG ET AL.	
	A38	US-5,436,146-	07-25-1995	SHENK ET AL.	
	A39	US-5,441,050-	08-15-1995	THURSTON ET AL.	
	A40	US-5,447,851-	09-05-1995	BEUTLER ET AL.	

Examiner
Signature

Date
Considered

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	09/899059
				Filing Date	07/06/2001
				First Named Inventor	Craig A. Rosen
				Art Unit	1647
				Examiner Name	Guo-Liang Yu
(use as many sheets as necessary)				Attorney Docket Number	075977-0122
Sheet	2	of	30		

U.S. PATENT DOCUMENTS					
		Document Number			
	A41	US-5,460,959-	10-24-1995	MULLIGAN ET AL.	
	A42	US-5,463,575-	10-31-1995	WHITE	
	A43	US-5,474,981-	12-12-1995	LEDER ET AL.	
	A44	US-5,478,925-	12-26-1995	WALLACH ET AL.	
	A45	US-5,480,971-	01-02-1996	HOUGHTEN ET AL.	
	A46	US-5,489,425-	02-06-1996	KRUPER ET AL.	
	A47	US-5,505,931-	04-09-1996	PRIBISH	
	A48	US-5,516,637-	05-14-1996	HUANG ET AL.	
	A49	US-5,530,101-	06-25-1996	QUEEN ET AL.	
	A50	US-5,545,806-	08-13-1996	LONBERG ET AL.	
	A51	US-5,565,332-	10-15-1996	HOOGENBOOM ET AL.	
	A52	US-5,569,825-	10-29-1996	LONBERG ET AL.	
	A53	US-5,571,698-	11-05-1996	LADNER ET AL.	
	A54	US-5,573,920-	11-12-1996	RANDLE	
	A55	US-5,580,717-	12-03-1996	DOWER ET AL.	
	A56	US-5,580,859-	12-03-1996	FELGNER ET AL.	
	A57	US-5,585,089-	12-17-1996	QUEEN ET AL.	
	A58	US-5,601,819-	02-11-1997	WONG ET AL.	
	A59	US-5,605,793-	02-25-1997	STEMMER	
	A60	US-5,612,460-	03-18-1997	ZALIPSKY	
	A61	US-5,622,929-	04-22-1997	WILLNER ET AL.	
	A62	US-5,625,126-	04-29-1997	LONBERG ET AL.	
	A63	US-5,633,425-	05-27-1997	LONBERG ET AL.	
	A64	US-5,641,670-	06-24-1997	TRECO ET AL.	
	A65	US-5,643,575-	07-01-1997	MARTINEZ ET AL.	
	A66	US-5,652,361-	07-29-1997	SIMON ET AL.	
	A67	US-5,658,727-	08-19-1997	BARBAS ET AL.	
	A68	US-5,661,016-	08-26-1997	LONBERG ET AL.	
	A69	US-5,693,622-	12-02-1997	WOLFF ET AL.	
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	A72	US-5,705,151-	01-06-1998	DOW ET AL.	
	A73	US-5,714,631-	02-03-1998	WILSON ET AL.	
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	A75	US-5,750,753-	05-12-1998	KIMAE ET AL.	
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	A78	US-5,780,225-	07-14-1998	WIGLER ET AL.	
	A79	US-5,807,715-	09-15-1998	MORRISON ET AL.	
	A80	US-5,808,003-	09-15-1998	SUBRAMANIAN ET AL.	
	A81	US-5,811,097-	09-22-1998	ALLISON ET AL.	
	A82	US-5,811,238-	09-22-1998	STEMMER ET AL.	

Examiner Signature		Date Considered	
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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known		
				Application Number	09/899059	
Sheet		3	of	30	Filing Date	07/06/2001
					First Named Inventor	Craig A. Rosen
					Art Unit	1647
					Examiner Name	Guo-Liang Yu
					Attorney Docket Number	075977-0122

U.S. PATENT DOCUMENTS					
		Document Number			
	A83	US-5,814,318-	09-29-1998	LONBERG ET AL.	
	A84	US-5,821,047-	10-13-1998	GARRARD ET AL.	
	A85	US-5,830,721-	11-03-1998	STEMMER ET AL.	
	A86	US-5,834,252-	11-10-1998	STEMMER ET AL.	
	A87	US-5,837,458-	11-17-1998	MINSHULL ET AL.	
	A88	US-5,876,969-	03-02-1999	FLEER ET AL.	
	A89	US-5,885,793-	03-23-1999	GRIFFITHS ET AL.	
	A90	US-5,916,771-	06-29-1999	HORI ET AL.	
	A91	US-5,939,598-	08-17-1999	KUCHERLAPATI ET AL.	
	A92	US-5,969,108-	10-19-1999	MCCAFFERTY ET AL.	
	A93	US-5,985,660-	11-16-1999	GALY	
	A94	US-6,075,181-	06-13-2000	KUCHERLAPATI ET AL.	
	A95	US-6,114,598-	09-05-2000	KUCHERLAPATI ET AL.	

UNPUBLISHED U.S. PATENT APPLICATION DOCUMENTS					
Examiner Initials*	Cite No. ¹	U.S. Patent Application Document Serial Number-Kind Code ² (if known)	Filing Date of Cited Document MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ -Number ⁴ - Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
	A96	WO-00/52028-A1	09-08-2000	HUMAN GENOME SCIENCES, INC.		
	A97	WO-00/64465-A1	11-02-2000	HUMAN GENOME SCIENCES ET AL.		
	A98	EP-0 171 496-B1	05-23-1993	RESEARCH DEVELOPMENT CORPORATION OF JAPAN		
	A99	EP-0 173 494-A2	03-05-1986	THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY		
	A100	DE-196 39 601-A1	09-04-1997	BAYER AG		
	A101	EP-0 239 400-B1	09-30-1987	MEDICAL RESEARCH COUNCIL		

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Sheet	4	of	30	Attorney Docket Number	075977-0122

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		Country Code ³	Number ⁴ Kind Code ⁵ (if known)			
	A102	EP-0	282 317-A2	09-14-1988	AMGEN INC.	
	A103	EP-0	307 434-B2	03-22-1989	SCOTGEN BIOPHARMACEUTICALS, INC.	
	A104	EP-0	322 094-B1	02-12-1992	DELTA BIOTECHNOLOGY LIMITED	
	A105	EP-0	367 166-A1	05-09-1990	TAKEDA CHEMICAL INDUSTRIES, LTD.	
	A106	EP-0	394 827-A1	10-31-1990	F. HOFFMAN-LA ROCHE AG	
	A107	EP-0	396 387-B1	11-07-1990	RESEARCH DEVELOPMENT FOUNDATION	
	A108	EP-0	399 816-B1	12-20-1995	MERCK & CO., INC.	
	A109	EP-0	401 384-A1	12-12-1990	KIRIN-AMGEN, INC.	
	A110	EP-0	413 622-B1	02-20-1991	RHONE-POULENC RORER S.A.	
	A111	EP-0	439 095-A2	07-31-1991	BRISTOL-MYERS SQUIBB CO.	
	A112	EP-0	506 477-B1	09-30-1992	MERCK & CO., INC.	
	A113	EP-0	519 596-A1	12-23-1992	MERCK & CO., INC.	
	A114	EP-0	592 106-B1	04-13-1994	IMMUNOGEN INC.	
	A115	EP-0	682 110-A1	11-15-1995	CHIRON CORP.	
	A116	WO-86/01533-A1		03-13-1986	CELLTECH LIMITED	
	A117	WO-86/05807-A1		10-09-1986	CELLTECH LIMITED	
	A118	WO-87/01130-A1		02-26-1987	STAUFFER CHEMICAL CO.	
	A119	WO-87/02671-A1		05-07-1987	INTERNATIONAL GENETIC ENGINEERING, INC.	
	A120	WO-87/04462-A1		07-30-1987	CELLTECH LIMITED ET AL.	
	A121	WO-89/01036-A1		02-09-1989	CELLTECH LIMITED	
	A122	WO-89/02036-A1		03-09-1989	UPAT GMBH & CO.	
	A123	WO-89/10404-A1		11-02-1989	CELLTECH LIMITED	
	A124	WO-89/12624-A1		12-28-1989	CETUS CORPORATION	
	A125	WO-90/02809-A1		03-22-1990	PROTEIN ENGINEERING CORP.	
	A126	WO-90/11092-A1		10-04-1990	VICAL, INC., ET AL.	
	A127	WO-90/13649-A1		11-15-1990	GENENTECH, INC.	
	A128	WO-91/00360-A1		01-10-1991	MEDAREX, INC.	
	A130	WO-91/06570-A1		05-16-1991	THE UNIVERSITY OF MELBOURNE	

Examiner Signature	Date Considered
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	A131	WO-91/06657-A1	05-16-1991	CELLTECH LIMITED		
	A132	WO-91/09967-A1	07-11-1991	CELLTECH LIMITED		
	A133	WO-91/10737-A1	07-25-1991	MOLECULAR AFFINITIES CORP.		
	A134	WO-91/10741-A1	07-25-1991	CELL GENESYS, INC.		
	A135	WO-91/14438-A1	10-03-1991	THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK		
	A136	WO-92/01047-A1	01-23-1992	CAMBRIDGE ANTIBODY TECHNOLOGY LIMITED		
	A137	WO-92/05793-A1	04-16-1992	MEDAREX, INC.		
	A138	WO-92/06180-A1	04-16-1992	UNIVRSITY OF CONNECTICUT		
	A139	WO-92/06194-A1	04-16-1992	CONSIGLIO NAZIONALE DELLE RICERCHE		
	A140	WO-92/08495-A1	05-29-1992	ABBOTT BIOTECH, INC.		
	A141	WO-92/08802-A1	05-29-1992	CETUS CORPORATION		
	A142	WO-92/18619-A1	10-29-1992	THE SCRIPPS RESEARCH INSTITUTE		
	A143	WO-92/22324-A1	12-22-1992	XOMA CORPORATION		
	A144	WO-92/22635-A1	12-23-1992	UNIVERSITY OF CONNECTICUT ET AL.		
	A145	WO-93/11236-A1	06-10-1993	MEDICAL RESEARCH COUNCIL ET AL.		
	A146	WO-93/14188-A1	07-22-1993	THE REGENTS OF THE UNIVERSITY OF MICHIGAN		
	A147	WO-93/17715-A1	09-16-1993	UNIVERSITY OF OF TEXAS SYSTEM, BOARD OF REGENTS ET AL.		
	A148	WO-93/20221-A1	10-14-1993	ALEXANDER YOUNG		
	A149	WO-93/21232-A1	10-28-1993	RESEARCH DEVELOPMENT FOUNDATION		
	A150	WO-93/25788-A1	12-23-1993	HOPPE AG		
	A151	WO-94/08598-A1	04-28-1994	ADVANCED TISSUE SCIENCES, INC.		
	A152	WO-94/12649-A1	06-09-1994	GENZYME CORPORATION		
	A153	WO-94/12650-A1	06-09-1994	TRANSKARYOTIC THERAPIES, INC.		

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Sheet	6	of	30

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	A154	WO-95/06058-A1	03-02-1995	ROYAL FREE HOSPITAL SCHOOL OF MEDICINE		
	A155	WO-95/15982-A1	06-15-1995	GENZYME CORP.		
	A156	WO-95/20401-A1	08-03-1995	TRUSTEES OF BOSTON UNIVERSITY		
	A157	WO-96/04388-A1	02-15-1996	SMITHKLINE BEECHAM PLC, ET AL.		
	A158	WO-96/26736-A1	09-06-1996	LUDWIG INSTITUTE FOR CANCER RESEARCH ET AL.		
	A159	WO-96/29411-A1	09-26-1996	TRANSKARYOTIC THERAPIES, INC.		
	A160	WO-96/33735-A1	10-31-1996	CELL GENESYS, INC.		
	A161	WO-96/34095-A1	10-31-1996	HUMAN GENOME SCIENCES, INC.		
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	A163	WO-96/39515-A1	12-12-1996	HUMAN GENOME SCIENCES, INC.		
	A164	WO-97/03394-A1	01-30-1997	ITT INDUSTRIES LIMITED		
	A165	WO-97/33899-A1	09-18-1997	HUMAN GENOME SCIENCES, INC.		
	A166	WO-97/33904-A1	09-18-1997	HUMAN GENOME SCIENCES, INC., ET AL.		
	A167	WO-97/34911-A1	09-25-1997	HUMAN GENOME SCIENCES, INC.		
	A168	WO-98/02543-A1	01-22-1998	CHUGAI RESEARCH INSTITUTE FOR MOLECULAR MEDICINE, INC.		ABS.
	A169	WO-98/06842-A1	02-19-1998	SCHERING CORP.		
	A170	WO-98/07832-A1	02-26-1998	LUDWIG INSTITUTE FOR CANCER RESEARCH ET AL.		
	A171	WO-98/07880-A1	02-26-1998	HUMAN GENOME SCIENCES, INC.		
	A172	WO-98/11779-A1	03-26-1998	THE REGENTS OF THE UNIVERSITY OF CALIFORNIA		
	A173	WO-98/16654-A1	04-23-1998	JAPAN TOBACCO, INC.		

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			Application Number	09/899059	
			Filing Date	07/06/2001	
			First Named Inventor	Craig A. Rosen	
			Art Unit	1647	
			Examiner Name	Guo-Liang Yu	
Sheet	7	of	30	Attorney Docket Number	075977-0122

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	A174	WO-98/18921-A1	05-07-1998	HUMAN GENOME SCIENCES, INC.		
	A175	WO-98/24893-A1	06-11-1998	ABGENIX, INC.		
	A176	WO-98/30693-A2	07-16-1998	HUMAN GENOME SCIENCES, INC.		
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	A178	WO-98/32856-A1	07-30-1998	HUMAN GENOME SCIENCES, INC., ET AL.		
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	A184	WO-98/56892-A1	12-17-1998	HUMAN GENOME SCIENCES, INC.		
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	A187	WO98/30694	07-16-1998	HUMAN GENOME SCIENCES, INC.		

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Sheet	8	of	30

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				Application Number	09/899059	
Sheet		12	of	30	Filing Date	07/06/2001
					First Named Inventor	Craig A. Rosen
					Art Unit	1647
					Examiner Name	Guo-Liang Yu
					Attorney Docket Number	075977-0122

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	A242	D. R. THOMSEN et al., "Promoter-regulatory region of the major immediate early gene of human cytomegalovirus," Proc. Natl. Acad. Sci. USA, Vol. 81, February 1984, pgs. 659-663.	
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				First Named Inventor	Craig A. Rosen
				Art Unit	1647
				Examiner Name	Guo-Liang Yu
(use as many sheets as necessary)				Attorney Docket Number	075977-0122
Sheet	13	of	30		

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	A255	F. GOLBERE-GARAPIN et al., "A New Dominant Hybrid Selective Marker for Higher Eukaryotic Cells," J. Mol. Biol., Vol. 150, (1981), pgs. 1-14.		
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		Filing Date	07/06/2001
		First Named Inventor	Craig A. Rosen
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		Examiner Name	Guo-Liang Yu
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	A268	G. KÖHLER et al., "Fusion between immunoglobulin-secreting and nonsecreting myeloma cell lines," Eur. J. Immunol., Vol. 6, (1976), pgs. 292-295.	
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				Filing Date	07/06/2001
				First Named Inventor	Craig A. Rosen
				Art Unit	1647
				Examiner Name	Guo-Liang Yu
Sheet	18	of	30	Attorney Docket Number	075977-0122

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		Filing Date	07/06/2001
		First Named Inventor	Craig A. Rosen
		Art Unit	1647
		Examiner Name	Guo-Liang Yu
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				Art Unit	1647
				Examiner Name	Guo-Liang Yu
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				Application Number	09/899059	
Sheet		23	of	30	Filing Date	07/06/2001
					First Named Inventor	Craig A. Rosen
					Art Unit	1647
					Examiner Name	Guo-Liang Yu
					Attorney Docket Number	075977-0122

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	A385	M. S. NEUBERGER et al., "Recombinant antibodies possessing novel effector functions," NATURE, Vol. 312, Dec. 1984, pgs. 604-608.	
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		Filing Date	07/06/2001
		First Named Inventor	Craig A. Rosen
		Art Unit	1647
		Examiner Name	Guo-Liang Yu
(use as many sheets as necessary)		Attorney Docket Number	075977-0122
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				First Named Inventor	Craig A. Rosen
				Art Unit	1647
				Examiner Name	Guo-Liang Yu
Sheet	25	of	30	Attorney Docket Number	075977-0122

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				Art Unit	1647
				Examiner Name	Guo-Liang Yu
Sheet	26	of	30	Attorney Docket Number	075977-0122

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				Application Number	09/899059
				Filing Date	07/06/2001
				First Named Inventor	Craig A. Rosen
				Art Unit	1647
				Examiner Name	Guo-Liang Yu
Sheet	29	of	30	Attorney Docket Number	075977-0122

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	A463	T. PATERSON et al., "Approaches to maximizing stable expression of alpa-1-antitrypsin in transformed CHO cells," Appl. Microbiol. Biotechnol, Vol. 40, (1994), pgs. 691-698.			
	A464	T. SMITH et al., "Comparison of Biosequences," Advances in Applied Mathematics, Vol. 2, (1981), pgs. 482-489.			
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	A476	Y. GLUZMAN, "SV40-Transformed Simian Cells Support the Replication of Early SV40 Mutants," Cell, Vol. 23, Jan. 1981, pgs. 175-182.	
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